

FORM APPROVED COUNTY COUNSEL
 BY: *G.P.P.*
 GREGORY P. PRIAMOS
 DATE

Departmental Concurrence



**SUBMITTAL TO THE BOARD OF DIRECTORS
 REGIONAL PARK AND OPEN SPACE DISTRICT
 COUNTY OF RIVERSIDE, STATE OF CALIFORNIA**

373



FROM: Regional Park and Open-Space District

SUBMITTAL DATE:
 August 11, 2016

SUBJECT: Adoption of Resolution No. 2016-7 Approval of an Amended Records Retention Schedule for the Regional Park and Open-Space District – District ALL [\$0]

RECOMMENDED MOTION: That the Board of Directors:
 1. Adopt Resolution No. 2016-7 Approval of an Amended Records Retention Schedule for the Regional Park and Open-Space District; and
 2. Direct the Clerk of the Board to amend the list of Board-approved records retention schedules contained in Section D.7 of Board Policy A-43 to include this schedule.

BACKGROUND:
Summary
 Continued on Page 2

[Signature]
 Scott Bangle
 General Manager

2017-015D

| FINANCIAL DATA | Current Fiscal Year: | Next Fiscal Year: | Total Cost: | Ongoing Cost: | POLICY/CONSENT (per Exec. Office) |
|-----------------|----------------------|-------------------|-------------|---------------|--|
| COST | \$ 0 | \$ 0 | \$ 0 | \$ 0 | Consent <input type="checkbox"/> Policy <input type="checkbox"/> |
| NET COUNTY COST | \$ 0 | \$ 0 | \$ 0 | \$ 0 | |

SOURCE OF FUNDS: _____ **Budget Adjustment:** _____
 For Fiscal Year: _____

C.E.O. RECOMMENDATION: APPROVE
 BY: *Alex Gann*
 Alex Gann
 County Executive Office Signature

MINUTES OF THE BOARD OF DIRECTORS

On motion of Director Ashley, seconded by Director Jeffries and duly carried by unanimous vote, IT WAS ORDERED that the above matter is approved as recommended.

Ayes: Jeffries, Tavaglione, Washington, Benoit and Ashley
 Nays: None
 Absent: None
 Date: August 23, 2016
 xc: Parks, COBcg

Kecia Harper-Ihem
 Clerk of the Board
 By: *[Signature]* DISTRICT
 Deputy

- A-30
- Positions Added
- 4/5 Vote
- Change Order

Prev. Agn. Ref.: MO 13-1D 4.29.14 | District: ALL | Agenda Number:

13-2

**SUBMITTAL TO THE BOARD OF DIRECTORS, REGIONAL PARK AND OPEN SPACE DISTRICT,
COUNTY OF RIVERSIDE, STATE OF CALIFORNIA.**

FORM 11: Adoption of Resolution No. 2016-7 Approval of an Amended Records Retention Schedule for the Regional Park and Open-Space District – District ALL [\$0]

DATE: August 11, 2016

PAGE: 2 of 2

BACKGROUND:

Summary (continued)

Although it is a separate entity from the County, with potentially different legal guidelines, the District seeks to be in full compliance with applicable law and provisions of County Board of Supervisors Policy A-43, the critical County Records Management and Archives Policy. Part of Policy A-43 compliance includes the development, adoption and implementation of a Departmental Records Retention Schedule (DRRS). The amended DRRS developed by the District, in cooperation of the County's Records Management Department, is attached for approval and inclusion into Section D.7 of Board Policy A-43.

County Counsel has reviewed and approved the Resolution and the Amended Records Retention Schedule as to legal form.

Attachments:

Resolution No. 2016-7

Exhibit A – Amended Record Retention Schedule

2
3
4 RESOLUTION NO. 2016-7

5 A RESOLUTION OF THE BOARD OF DIRECTORS OF THE RIVERSIDE COUNTY
6 REGIONAL PARK AND OPEN-SPACE DISTRICT (DISTRICT) RELATING TO THE
7 APPROVAL OF AN AMENDED RECORDS RETENTION SCHEDULE FOR THE
8 REGIONAL PARK AND OPEN-SPACE DISTRICT

9 WHEREAS, pursuant to Public Resources Code Section 5557.2, the legislative body of a special
10 district may destroy a record in a manner pursuant to Chapter 7 of Division 1 of Title 6 of the Government
11 Code (commencing with section 60200) whereby it may, by resolution, adopt a records retention
12 schedule and standard protocol for destruction or disposition of records; and

13 WHEREAS, on April 29, 2014, Minute Order Number 13-1D, the Board of Directors ("Board") for
14 the Riverside County Regional Park and Open-Space District ("District") adopted Resolution No. 2014-4
15 to apply the County of Riverside ("County") general records retention schedule requirements to the
16 District and approved the District's Records Retention Schedule pursuant to Public Resources Code
17 Section 5557.2 and Government Code Section 60201(b)(2); and

18
19 WHEREAS, Resolution No. 2014-4 determined the District should follow the same requirements
20 as applicable to the County, to the extent applicable to the District;

21
22 WHEREAS, the District has worked in coordination with the County's Records Management
23 Department to prepare an amended Records Retention Schedule for the District ("DRRS") to replace the
24 previously adopted DRRS and now desires to amend the DRRS;

25 ///

26 ///

27 ///

28 ///

FORM APPROVED COUNTY COUNSEL
BY: *Synthia M. Gwinzel* 8-11-14
DATE
SYNTHIA M. GWINZEL

1 NOW, THEREFORE, BE IT RESOLVED, ORDERED AND DETERMINED by the Board of Directors of
2 the Riverside County Regional Park and Open-Space District, assembled in regular session on
3 August 23, 2016, in the meeting room of the Board of Directors located on the 1st Floor of the
4 County Administrative Center, 4080 Lemon Street, Riverside, California, that this Board adopts the
5 amended Records Retention Schedule for the District, (referenced as DRRS_PARK_2016_Rev02),
6 attached as Exhibit A and by this reference incorporated herein.

7
8 BE IT FURTHER RESOLVED, ORDERED AND DETERMINED by the Board that this Resolution
9 shall be effective immediately upon its approval and adoption.

10
11 ROLL CALL:

12 Ayes: Jeffries, Tavaglione, Washington, Benoit and Ashley
13 Nays: None
14 Absent: None

15 The foregoing is certified to be a true copy of a resolution duly
16 adopted by said Board of Supervisors on the date therein set forth.

17 KECIA HARPER-IHEM, Clerk of said Board

18 By  _____

19 Deputy



Regional Park and Open-Space District Departmental Records Retention Schedule (DRRS_PARK_2016_Rev02)

Inquiries or comments regarding this schedule should be directed to:

Park Headquarters
4600 Crestmore Road
Jurupa Valley, CA 92509
951-955-4310

Introduction

This Departmental Records Retention Schedule (DRRS) for the Regional Park and Open-Space District (Parks) is adopted pursuant to the Board of directors, Riverside County Regional Park and Open-Space District, Resolution No. 2016-126 and County of Riverside, Board of Supervisors Policy A-43 ("Board Policy A-43").

This schedule is written with general titles and descriptions rather than identifying specific individual documents or forms. A record with content and function that is substantially the same as an item described in this schedule should be considered covered by that series. This retention schedule indicates the length of time that listed records, regardless of media or format, must be retained by Parks before disposition may be implemented. These retention requirements are recommended in order to reduce the costs for the storage and maintenance of records while ensuring that administrative, fiscal, legal and other recordkeeping responsibilities are met.

Records, including copies held for convenience or reference, must be disposed of as directed herein at the close of the official retention period. If a federal or state statute or regulation specifies a longer retention period for any records series received, created, or maintained by the department, the statute or regulation override this schedule and the department must amend its records retention schedule as soon as practicable. In addition, a record may not be destroyed if notice of litigation, audit, open records request, etc. is received prior to the expiration of the retention period. For records held for audit purposes, the Auditor Controller's Office, or appropriate auditing authority, will notify the department once the audit is complete. In the event a lawful claim or a lawsuit is made against Parks, Parks will suspend destruction of the subject records until all issues of the matter are resolved. Further, if Parks is notified by County Counsel or Human Resources to put documents on hold due to a claim or other legal proceeding, Parks will suspend any records destruction of the identified documents. (Board Policy A-43 § D.9) Parks may not destroy or dispose of any record that has not fulfilled the administrative, fiscal, or legal purpose for which it was created or received. (Government Code § 60201(d)(10))

Parks will maintain requested records until the close of litigation or proceedings plus an additional ten (10) years. Parks is responsible for ascertaining the correct date of closure in order to establish this ten (10) year retention period. Furthermore, Parks is responsible for establishing appropriate procedures to ensure that records are retained for the period of time mandated and that the records remain accessible as required.

Explanation of Fields

Record Series Codes: The Record Series Code is assigned by RMAP. The code is alphanumeric and uniquely identifies the Record Series Title regardless of the schedule it occupies enabling the Record Series to be tracked within the master index system.

Record Series Title: The Record Series Title identifies a group of similar records generally produced or utilized for similar business needs allowing them to be evaluated as a group for retention scheduling purposes.

Record Series Description: A description of the Record Series Title that includes examples, not an exhaustive list, of the record types found within the group.

Official Record Retention: The length of time that the Copy of Record must be kept based upon the legal minimum requirement as well as any operational or business need. All other copies must be disposed of at the end of the retention period. For example, departmental copies held for convenience or reference must be destroyed at the close of the retention period or when no longer needed to support normal business operations, whichever is earlier.

Citation / Rationale: The legal citation or operational/business reason for retaining the Copy of Record for the period specified.

Final Disposition: The recommended final status or arrangement for the Copy of Record, usually disposed of by recycling, confidential shredding or transfer to the County of Riverside Archives as determined through best practice.

Explanation of Codes

AU = After audit is settled

Best Practice = Best Practice determined through business and government agency benchmarks.

CCP = California Code of Civil Procedure

CL = Closed, which will also mean after final resolution (as in an inquiry or litigation) after expiration (as in a contract) after final payment, upon completion of participation in a program, etc. A record is considered "closed" when no further action is pending or required.

CY = Calendar year end

GC = California Government Code

LGRMG = Secretary of State's Local Government Records Management Guidelines (2006)

P = Permanent

S = Superseded



REGIONAL PARK AND OPEN-SPACE DISTRICT RECORDS RETENTION SCHEDULE

Department / Agency: Regional Park and Open-Space District

Schedule Type: Departmental Records Retention Schedule

Division: All

Schedule #:

Section: All

DRRS_PARK_2016_Rev02

With full consideration given to the preservation of the historic records of the District, the undersigned hereby certifies that this retention schedule conforms to the legal and regulatory requirements and best business practices known at this time.

Department Head:

(Signature)
Scott Bargle, Parks Director and General Manager

Date:

7/11/16

| Record Series | | | Copy of Record | Official Record Retention | Citation / Rationale | Final Disposition |
|---------------|---|---|-------------------------------|--|--|-------------------|
| Code | Title | Description | | | | |
| PARK025 | Adopt-A-Trail Applications | Applications and associated work plans submitted by adoptees of trails. | Parks and Open-Space District | CL + 2 | GC 60201(b)(2); Best Practice | Shred / Delete |
| PARK050 | Boat Inspection | Signed agreement with boat owner that requires them to perform a final inspection of their boat in order to ensure that wildlife is not removed from or transmitted to other locations. | Parks and Open-Space District | CL + 4 | CCP 337; GC 60201(b)(2); Best Practice | Shred / Delete |
| PARK075 | Citations / Warnings | Written notice to a member of the public citing violation(s) of a County ordinance or Park rule. | Parks and Open-Space District | CY + 2 | GC 60201(b)(2); Best Practice | Shred / Delete |
| PARK080 | Construction Projects | Records related to construction projects completed by the District. Records series may include correspondence, contracts, plans/drawings, etc. | Parks and Open-Space District | NOC + 10; CL + 10, whichever is longer | CCP 337.15 | Shred / Delete |
| PARK100 | County Landmarks Program | Survey records created under the Program indicating street address of potential historic landmarks. | Parks and Open-Space District | P | Best Practice | Dept. |
| PARK125 | County Landmarks Program - Administration | Administrative records documenting how the County Landmarks Program was initiated, organized and implemented. | Parks and Open-Space District | P | Best Practice | Dept. |

| Record Series | | | Copy of Record | Official Record Retention | Citation / Rationale | Final Disposition |
|---------------|--|--|-------------------------------|---------------------------|---|-------------------|
| Code | Title | Description | | | | |
| PARK150 | Court worker Roster | Roster of workers assigned through the courts to volunteer. Report is received from Sheriff and includes booking number and name. | Parks and Open-Space District | CY + 2 | GC 60201(b)(2); Best Practice | Shred / Delete |
| PARK175 | Customer Satisfaction Surveys | Surveys completed by members of the public. | Parks and Open-Space District | CY + 2 | GC 60201(b)(2); Best Practice | Shred / Delete |
| PARK180 | Debt of district | Records related to any financial obligation of the district. | Parks and Open-Space District | Discharge of debt + 10 | GC 60201(d)(7) | Shred / Delete |
| PARK200 | Department Meetings | Records related to the proceedings of departmental staff meetings. Records series may include agenda, minutes, sign-in sheets. | Parks and Open-Space District | CY + 2 | GC 60201(b)(2); Best Practice | Shred / Delete |
| PARK225 | Environmental Compliance Records | Records related to efforts to preserve endangered species and their habitats. Records series may include CEQA and NEPA documents and/or reports, Habitat Conservation Plans and permits, Multiple Special Habitat Conservation Plan compliance documentation, etc. | Parks and Open-Space District | P | CCP 337.15; Best Practice | Dept. |
| PARK250 | Events - event file | Information received from individual or group booking event. Records series may include credentials, proof of insurance, etc. | Parks and Open-Space District | Close of Event + 3 | GC 911.2; GC 945.6; GC 60201(b)(2); Best Practice | Shred / Delete |
| PARK260 | Formation, change of organization, or reorganization of district | Records related to the formation of and any subsequent change to the formation and/or organization of the Parks district. | Parks and Open-Space District | P | GC 60201(d)(1); Best Practice | Dept. |
| PARK275 | Historic Landmark Nomination Packet - Successful | Records related to the nomination of a structure for historic landmark status. Records series includes nomination letter, application, photographs, notes, etc. | Parks and Open-Space District | P | Best Practice | Dept. |
| PARK300 | Historic Landmark Nomination Packet - Unsuccessful | Records related to the nomination of a structure for historic landmark status. Records series includes nomination letter, application, photographs, notes, etc. | Parks and Open-Space District | CY + 2 | GC 60201(b)(2); Best Practice | Shred / Delete |

| Record Series | | | Copy of Record | Official Record Retention | Citation / Rationale | Final Disposition |
|---------------|--|---|--|-------------------------------|---|-------------------|
| Code | Title | Description | | | | |
| PARK325 | Incident Reports | Reports documenting personal injury and/or property damage | Parks and Open-Space District; Risk Management | CL + 3 | GC 911.2; GC 945.6; GC 60201(b)(2); Best Practice | Shred / Delete |
| PARK375 | Land Use Records | Records granting land use to outside entities. Records series may include easement records, encroachment permits, acquisition records, lease records, right of entry or right of way documents, mitigation bank commitments, trail development, and resource/vegetation management plans. | Parks and Open-Space District | P | Best Practice | Dept. |
| PARK400 | Logs | Dated lists documenting day-to-day activities such as water chemical treatments, issuance of passes, daily cleaning of facilities, etc. | Parks and Open-Space District | Last entry + 2 | GC 60201(b)(2); Best Practice | Shred / Delete |
| PARK425 | Maintenance Records - Grounds | Records of maintenance work performed on Park lands. | Parks and Open-Space District | CY + 2 | GC 60201(b)(2); Best Practice | Shred / Delete |
| PARK450 | Maintenance Records - Structures and Equipment | Records of maintenance work performed on Park buildings, structures, equipment and vehicles. Records series may include playground inspections, splash pad inspections, etc. | Parks and Open-Space District | End of lease or ownership + 7 | GC 60201(b)(2); Best Practice | Shred / Delete |
| PARK475 | Maps | Maps showing distribution of parcels and other property boundaries. | Parks and Open-Space District | P | Best Practice | Dept. |
| PARK500 | Park Dedications | Records documenting the opening and dedication of facilities and lands. | Parks and Open-Space District | P | Best Practice | Dept. |
| PARK520 | Photographs | Photographic representation of Parks lands or structures maintained for purposes other than historical documentation. | Parks and Open-Space District | S + 2 | LGRMG C-42 | Shred / Delete |

| Record Series | | | Copy of Record | Official Record Retention | Citation / Rationale | Final Disposition |
|---------------|----------------------------------|---|-------------------------------|--|---|-------------------|
| Code | Title | Description | | | | |
| PARK524 | Program Files | Records related to the administration of various programs such as interpretive and aquatic programs and summer camps. Records series may include documentation such as applications, marketing plan and materials, etc. | Parks and Open-Space District | CL + 5 | GC 26202; Best Practice | Shred / Delete |
| PARK525 | Project Review | Records generated in the course of reviewing County projects. Records series may include environmental compliance reports, scope of work, RFP's, specs, as-builts and Notice of Completion. | Parks and Open-Space District | CL + 10 | CCP 337; GC 60201(b)(2); Best Practice | Shred / Delete |
| PARK550 | Project Review - Parks | Records generated in the course of reviewing Park initiated projects. Records series may include environmental compliance reports, scope of work, RFP's, specs, as-builts and Notice of Completion. | Parks and Open-Space District | NOC + 10; CL + 10, whichever is longer | CCP 337.15; 40 CFR 141.33 | Shred / Delete |
| PARK560 | Purchasing Records | Records created to document the purchases and payments for supplies, equipment and services. May include official copy of purchase order, purchase requisitions (requests), purchasing contracts, payment authorizations, receipts/packing slips. | Parks and Open-Space District | CL + 7 or AU + 2, whichever is later | GC 26907 See also GC 25501.5; Audit Support | Shred / Delete |
| PARK565 | Real Property - title, ownership | Records supporting the ownership of real property by Parks whether in full or partial. | Parks and Open-Space District | P | GC 60201(d)(8); Best Practice | Dept. |
| PARK575 | Reference Library | Records of research conducted on behalf of Parks including findings and final reports. | Parks and Open-Space District | NOC + 10; CL + 10, whichever is longer | CCP 337.15; 40 CFR 141.33 | Shred / Delete |
| PARK600 | Reservations | Records completed to document various contact information for school groups, private parties, camper activities, etc. Records series may include registration documents, T-cards, etc. | Parks and Open-Space District | CY + 2 | GC 60201(b)(2); Best Practice | Shred / Delete |

| Record Series | | | Copy of Record | Official Record Retention | Citation / Rationale | Final Disposition |
|---------------|---------------|---|-------------------------------|---------------------------|-------------------------------|-------------------|
| Code | Title | Description | | | | |
| PARK625 | Staff Reports | Reports memorializing the key elements of ranger daily reports. Weekly reports are submitted to management for review and further action as necessary. | Parks and Open-Space District | CL + 2 | GC 60201(b)(2); Best Practice | Shred / Delete |
| PARK650 | Water Quality | Water Quality records documenting compliance with legal and policy requirements. Records series may include training records, inspection/testing records, management plan, audit reports, lab testing methodology and results, etc. | Parks and Open-Space District | P | 40 CFR 141.33; Best Practice | Dept. |